



CLINICAL POLICY PROBLEM LIST

A. EFFECTIVE DATE:

July 19, 2022

B. PURPOSE:

The Problem List will facilitate continuity of patient care and support clinical decision making between visits by providing a comprehensive and accessible list of patient problems in one location. The Problem List will serve as a communication tool among health care providers involved in the patient's care.

C. POLICY:

An up to date Problem List will be maintained electronically as defined in this policy. The Problem List will be examined and updated for accuracy at every patient encounter as noted within this policy.

Problem Lists shall:

1. Capture, display and report all acute, active and chronic problems associated with the patient.
2. Capture, display and report a history of all problems associated with a patient as needed.
3. Capture the onset date of a problem and resolution, if known.
4. Capture the source, date and time of all updates*.
5. Capture and display deactivated/reactivated problems.

*The source for Problem List updates, the authentication and identification for all Problem List entries, must be recorded. In order to maintain data integrity and fulfill legal requirements all pertinent dates will be captured and stored, including date/time noted or diagnosed, dates/times of any changes in problem specification or prioritization and the date/time of resolution. Automated time stamps may be used.

D. SCOPE:

This policy applies to all faculty, staff, residents/fellows, students, and applicable contractors, to exclude Emergency Department and Urgent Care physicians.

E. DEFINITIONS:

Problem Lists are defined as a compilation of clinically relevant physical and diagnostic concerns that may affect the health status and care of patients. Problem Lists are used within health records to list all acute and chronic illnesses and/or injuries that affect the health of an individual patient.

Treating provider is defined as the attending physician, physician assistant, APRN or resident involved in the case or

working with the attending.

F. MATERIAL(S) NEEDED:

None

G. PROCEDURE:

1. The treating providers will maintain the Problem List in the electronic medical record at the beginning and end of each episode of care, or as there is a change in diagnosis.
 - i. Note: When specialty care is provided, the specialist or designee as listed above, is responsible for updating the Problem List to the appropriate level of specificity.
 - ii. Registered dietitians may enter the diagnosis of moderate or severe protein calorie malnutrition into the Problem List.

2. To ensure integrity of the problem list, entries to be included on the Problem List will be made using a comprehensive, controlled, clinical reference terminology as the standard vocabulary for documenting patient problems. Problem List entries may include, but are not limited to the inclusion of the following:
 - i. Acute conditions
 - ii. Chronic conditions
 - iii. Past diagnoses
 - iv. Established diagnoses
 - v. Symptoms until such time as specific diagnosis is being determined
 - vi. Functional limitations
 - vii. Social factors
 - viii. Abnormal test results
 - ix. Visit or stay specific conditions, diagnoses, or symptoms
 - x. Updated diagnoses information as more precise/definitive diagnoses become available
 - xi. Resolved conditions

H. ATTACHMENTS:

None

I. REFERENCES:

None

J. SEARCH WORDS:

None

K. ENFORCEMENT:

Violations of this policy or associated procedures may result in appropriate disciplinary measures in accordance with University By-Laws, General Rules of Conduct for All University Employees, applicable collective bargaining agreements, the University of Connecticut Student Code, other applicable University Policies, or as outlined in any procedures document related to this policy.

L. STAKEHOLDER APPROVALS:

On File

M. COMMITTEE APPROVALS:

None

N. FINAL APPROVAL:

- | | |
|---|---------------------------|
| 1. <u>Bruce T. Liang, MD (Signed)</u>
Bruce T. Liang, MD
Interim Chief Executive Officer & EVP for Health Affairs
Dean, School of Medicine | <u>08/15/2022</u>
Date |
| 2. <u>Anne Horbatuck (Signed)</u>
Anne D. Horbatuck, RN, BSN, MBA
Clinical Policy Committee Co-Chair | <u>07/21/2022</u>
Date |
| 3. <u>Scott Allen, MD (Signed)</u>
Scott Allen, MD
Clinical Policy Committee Co-Chair | <u>07/26/2022</u>
Date |
| 4. <u>Caryl Ryan (Signed)</u>
Caryl Ryan, MS, BSN, RN
Chief Operating Officer, JDH
VP Quality and Patient Services & Chief Nursing Officer | <u>07/25/2022</u>
Date |

O. REVISION HISTORY:

Date Issued: 01/2013

Date Revised: 07/2022

Date Reviewed: 02/2017