

UConn HEALTH

POLICY NUMBER 2002-41

November 19, 2013

POLICY: REVIEW AND APPROVAL OF RESEARCH INVOLVING ANIMAL SUBJECTS (RESEARCH/SPONSORED PROGRAMS)

PURPOSE: To assign authority and responsibility for the review and approval of research involving animal subjects.

POLICY STATEMENT:

1. It is the policy of UConn Health that all research involving animal subjects conducted by the faculty and staff of UConn Health, or by anyone using UConn Health facilities, be conducted in accordance with all applicable laws and regulations including, but not limited to the following:
 - [The Animal Welfare Act](#), and [the Animal Welfare Act Regulations](#);
 - [The Health Research Extension Act of 1985](#);
 - [US Government Principals for the Utilization and Care of Vertebrate Animals Used in Testing, Research, and Training](#);
 - [The Public Health Service\(PHS\) Policy on the Humane Care and Use of Laboratory Animals](#); and
 - The provisions and principals set forth in [the Guide for the Care and Use of Laboratory Animals \(the Guide\)](#).
2. In accordance with the regulations cited above, UConn Health has established an Institutional Animal Care and Use Committee (IACUC), whose members are appointed by the Executive Vice President for Health Affairs, upon the recommendation of the Institutional Official (IO), the Chair of the IACUC, or the IACUC Coordinator. The IACUC is composed of members with diverse backgrounds and expertise and appointed in accordance with the requirements of the laws and regulations cited above.
3. The IACUC, its staff, the staff of the Center for Comparative Medicine (CCM) and the IO are responsible for assuring that all UConn Health faculty and staff engaged in research involving animal subjects comply with applicable laws, regulations and guidelines.

4. The IACUC shall have the responsibility to review and the authority to approve, require modifications to secure approval, or disapprove all research involving animal subjects conducted by UConn Health faculty and staff, or anyone using UConn Health facilities, in accordance with administrative policies and procedures established for this purpose. The IACUC shall monitor and conduct continuing review of such research at intervals of at least once per year and shall conduct biennial inspections of facilities where animals are being used as required by federal regulations.
5. The IACUC, or its staff acting on behalf of the IACUC, has the authority to inspect research facilities and obtain records and other relevant information relating to projects it has approved. The IACUC may suspend or terminate approval of projects it has approved and take actions that it judges necessary to ensure compliance with federal and other applicable regulations or which have been associated with unexpected serious harm to subjects.
6. No individual or committee at UConn Health may approve a project which has not been reviewed and approved by the IACUC. The IO may conduct additional review of research, which has been approved by the IACUC, and has the authority to disapprove or suspend research which has been previously approved by the IACUC in accordance with the UConn Health PHS Assurance.
7. UConn Health faculty are responsible for familiarizing themselves with the IACUC's policies and procedures (available through various published and on-line sources and on-going IACUC training) for conducting research involving animal subjects in accordance with the terms of approval established by the IACUC. It is each Principal Investigator's responsibility to report any adverse events associated with such research immediately to the IACUC via the IACUC Coordinator. It is the responsibility of the faculty to conduct research in accordance with the requirements and policies outlined in the laws, regulations and guidelines cited above.
8. The IACUC shall report to the Institutional Official (IO), and the IO shall report to the Office of Laboratory Animal Welfare (OLAW), department of NIH:
 - A serious or continuing non-compliance with PHS Policy;
 - A serious deviation from the provisions of the [Guide](#); and/or
 - A suspension of an IACUC approved activity.
9. UConn Health has established a specific program of care for animals used in its research and educational programs. The program of animal care shall be carried out by the CCM, and IACUC and the AVPRA. The CCM is responsible for providing veterinary care, veterinary husbandry services and expert consultation on the selection, care and use of animals in research and educational programs.

Jeffrey Small (Signed)

11/25/13

Jeffrey Small
Associate Vice President

Date

Frank M. Torti (Signed)

1/2/14

Frank M. Torti, M.D., M.P.H.
Executive Vice President for Health Affairs

Date

New Policy: 4/10/02
Revised: 11/19/13