POLICY NUMBER 2002-12
November 8, 2016

POLICY: GOVERNING STANDARDS
(RESEARCH/SPONSORED PROGRAMS)

PURPOSE:
To ensure compliance with sponsor requirements and applicable federal policies and
regulations for the administration of sponsored programs.

IMPORTANT: For federal awards/subawards issued to UConn Health with a start date prior to
funds (i.e., new awards, continuation funding, supplements, etc.) received with a start date on
or after December 26, 2016 are subject to the OMB Uniform Guidance (2 CFR Part 200),
unless specified otherwise in the terms and conditions of the award document.

Federal Sponsors
The award agreement, applicable federal regulations, applicable state requirements,
UConn Health policy, and the federal agency’s guidelines govern the conduct of the
project.

Uniform Guidance
The Office of Management and Budget’s Uniform Administrative Requirements, Cost
Principles, and Audit Requirements for Federal Awards (“Uniform Guidance”) establishes
a basis for policy in the management of federally sponsored programs. They include:

- Subpart A – Acronyms and Definitions
- Subpart B – General Provisions
- Subpart C – Pre-Federal Award Requirements and Contents of Federal Awards
- Subpart D – Post Federal Award Requirements
- Subpart E – Cost Principles
- Subpart F – Audit Requirements
- Appendices I - XII to Part 200

Federal Acquisitions Regulations
Establishes the procedures UConn Health researchers and administrators must use in the
management of federal contracts.

The Agency’s Guidelines
While Uniform Guidance establishes the principles for sponsored program management,
each federal agency may differ in policy application. Guidelines can be obtained from the
awarding agency.

1 Uniform Guidance (2 CFR Part 200) is available at:
http://www.ecfr.gov/cgi-bin/text-idx?tpl=/ecfrbrowse/Title02/2cfr200_main_02.tpl
The Award Agreement
Each award has an agreement which states terms and conditions specific to that particular project.

Non-Federal Sponsors
The specific award agreement, together with UConn Health policy and applicable state requirements, usually guides the project's conduct. State agencies, foundations, and private businesses may also publish their own funding guidelines, which are available from the sponsor.

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New Policy: 2/25/02  
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