**Letter for UConn CME Declaration Disclosure**

We are looking forward to having the opportunity to include your participation in this accredited continuing education activity.

**Why am I receiving this communication?** The **UConn Office of Continuing Medical Education** is accredited by the **ACCME**. We appreciate your help in partnering with us to follow accreditation guidelines and help us create high-quality education that is independent of industry influence. In order to participate as a person who will be able to control the educational content of this accredited CE activity, we ask that you disclose all financial relationships with any ineligible companies that you have had over the past 24 months. We define ineligible companies as those whose primary business is producing, marketing, selling, re-selling, or distributing healthcare products used by or on patients. There is no minimum financial threshold; you must disclose all financial relationships, regardless of the amount, with ineligible companies. We ask you to disclose regardless of whether you view the financial relationships as relevant to the education. For more information on the Standards for Integrity and Independence in Accredited Continuing Education, please visit **accme.org/standards**.

**Why do we collect this information?** Since healthcare professionals serve as the trusted authorities when advising patients, they must protect their learning environment from industry influence to ensure they remain true to their ethical commitments. Many healthcare professionals have financial relationships with ineligible companies. By identifying and mitigating relevant financial relationships, we work together to create a protected space to learn, teach, and engage in scientific discourse free from influence from organizations that may have an incentive to insert commercial bias into education.

**What are the next steps in this process?** After we receive your disclosure information, we will review it to determine whether your financial relationships are relevant to the education. Please note: the identification of relevant financial relationships does not necessarily mean that you are unable to participate in the planning and implementation of this educational activity. Rather, the accreditation standards require that relevant financial relationships are mitigated before you assume your role in this activity.

To help us meet these expectations, please use the form we have provided to share all financial relationships you have had with ineligible companies during the past 24 months. This information is necessary for us to be able to move to the next steps in planning this continuing education activity.

If you have questions about these expectations, please contact us at **cme@uchc.edu**.

**CME Activity Disclosure Declaration**

The University of Connecticut School of Medicine requires that all planners, speakers, or authors of CME activities complete this form for every CME activity in which they are involved.

**SECTION 1**

*To be completed by the individual:*

|  |  |
| --- | --- |
| **Participant Name (Print or Type):** |  |
| **Institutional Affiliation:** |  |
| **Name of CME Activity:** |  |
| **Date of Activity:** |  |

As a prospective participant, we would like to ask for our help in protecting our learning environment from industry influence. Please complete the form below and return it as soon as possible to your activity administrator.

The ACCME Standards for Integrity and Independence require that we disqualify individuals who refuse to provide this information from involvement in the planning and implementation of accredited continuing education. Thank you for your diligence and assistance.

If you have questions, please contact us **cme@uchc.edu**.

**My Role in the CME Activity:**

Speaker / Author / Moderator / Facilitator

Activity Director

Co-Activity Director

Independent Peer Reviewer (if applicable)

Planner / Planning Committee Member

Activity Administrator

Other: Please explain:

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**CRITERIA FOR DISCLOSURE OF FINANCIAL RELATIONSHIPS**

UConn School of Medicine considers relationships of the person involved in the CME activity to include financial relationships of a spouse or partner. Conflict of interest applies to any speaker, moderator, facilitator, activity director, peer reviewer, planner, activity administrator or any other individual who are involved in the planning, implementation, or evaluation of the CME activity.

In accordance with ACCME and UConn CME guidelines, instructors, planners, and managers who affect the content of a CME activity are required to disclose financial relationships they have with ineligible companies. Circumstances create a conflict of interest when an individual has an opportunity to influence continuing medical education (CME) content about products or services of a commercial interest (e.g., pharmaceutical company, medical device manufacturer) with which she/he has a financial relationship.

***An “Ineligible company” is any entity producing, marketing, selling, re-selling, or distributing healthcare products/services used by or on patients. (For specific examples of ineligible companies visit acme.org/standards)*** Examples of financial relationships include employee, researcher, consultant, advisor, speaker, independent contractor (including contracted research), royalties or patent beneficiary, executive role, and ownership interest. Individual stocks and stock options should be disclosed; diversified mutual funds do not need to be disclosed. Research funding from ineligible companies should be disclosed by the principal or named investigator even if that individual’s institution receives the research grant and manages the funds. When a person divests her/himself of a relationship with an ineligible company, it is no longer considered a conflict of interest, but it must be disclosed to the learners for **24 months**. Relationships with governmental agencies (e.g., the NIH) and organizations that do not fit the above definition do not have to be disclosed. In accordance with ACCME requirements, failure, or refusal to provide disclosure information in a timely manner will result in the disqualification of your participation in this activity.

If you have additional questions about completing this form, please contact the UConn CME office at cme@uchc.edu, or you may review these guidelines at www.accme.org.

**SECTION 2**

**To be Completed by Planner, Faculty, or Others Who May Control Educational Content:** Please disclose all financial relationships that you, a spouse, or partner currently have or have had in the past **24 months** with ineligible companies (see definition below). For each financial relationship, enter the name of the ineligible company and the nature of the financial relationship(s). There is no minimum financial threshold; we ask that you disclose all financial relationships, regardless of the amount, with ineligible companies. You should disclose all financial relationships regardless of the potential relevance of each relationship to the education.

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| --- | --- | --- |
| Do you, a spouse, or partner currently have (or within the past 24 months had) a financial relationship with one or more commercial interests (ineligible company) that relates in any way to this CME activity? | | |
| **Name of Person with Financial Relationship (self, spouse, partner):** | | |
| **Enter the Name of Ineligible Company**  An **ineligible company** is any entity whose primary business is producing, marketing, selling, re-selling, or distributing healthcare products used by or on patients.  For specific examples of ineligible companies visit **accme.org/standards**. | **Nature of Financial Relationship**  Examples of financial relationships include employee, researcher, consultant, advisor, speaker, independent contractor (including contracted research), royalties or patent beneficiary, executive role, and ownership interest. Individual stocks and stock options should be disclosed; diversified mutual funds do not need to be disclosed. Research funding from ineligible companies should be disclosed by the principal or named investigator even if that individual’s institution receives the research grant and manages the funds. | **Has the Relationship Ended?**  If the financial relationship existed during the last 24 months, but has now ended, please check the box in this column. This will help the education staff determine if any mitigation steps need to be taken. |
| *Example: ABC Company* | *Consultant* |  |
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| **In the past 24 months, I (nor a spouse, or partner) have not had any financial relationships with any ineligible companies.** | |  |

*Please provide additional sheets, if necessary, listing all relevant financial relationships. You may copy/paste the table above.*

|  |  |  |  |
| --- | --- | --- | --- |
| Please Respond: | NO | YES | If YES, please list any products or devices you will be discussing: |
| Will your presentation or material mention any off-label, unlabeled, or investigational use of products or devices? |  |  |  |
| Will your presentation include discussion of products or services of any or all of the companies you noted above? |  |  |  |

**For all Parties: *I attest that the above information is correct as of this date of submission.*** I am aware of the educational objectives of this activity and agree to abide by UConn CME and ACCME Guidelines for CME. In addition, I am aware that my presentation must be evidence based, and free from bias towards any ineligible company. If there are any changes in my relationships between now and the time of the activity, I will inform the activity director prior to the presentation. The UConn CME program will disclose the above information to participants and reserves the right to review your educational materials prior to your presentation. I acknowledge that my presentation and/or materials must provide a balanced view of the therapeutic options. When discussing off-label, unlabeled, or investigational uses of a commercial product, these uses will be identified as such. I will use generic names of medications whenever possible. When I use trade names, I will include those of other companies that are on the market.

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| **Participant’s Signature:** | **Date:** |

*\* Typed signatures will not be accepted, please use a hand signature or another form of formal electronic signatures (such as PDF certified signatures).*

**SECTION 3**

**ACTIVITY DIRECTORS ONLY**

**PLEASE COMPLETE SECTIONS BELOW**

**PART 1: Review of Participant Disclosure**

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| --- | --- | --- | --- |
|  | **NO** | **YES** |  |
| **Did the person completing this form responded with a financial relationship with one or more commercial interests (an ineligible company) that relates in any way to this CME activity in the past 24 months?** |  |  | **If “NO”, please stop here and sign form below.**  **If “YES”, please move to part 2 for conflict mitigation.** |

**PART 2: Worksheet for the Identification and Mitigation of Relevant Financial Relationships of Participants**

**STEP 1:** Review collected information about financial relationships and **exclude owners or employees of ineligible companies** from participating as planners or faculty**.** After collecting all financial relationships from prospective planners, faculty, and others, exclude any persons who are owners or employees of ineligible companies. Ineligible companies are those whose primary business is producing, marketing, selling, re- selling, or distributing healthcare products used by or on patients. For information about exceptions to this exclusion, see **accme.org/standards**.

**STEP 2:** Determine **relevant financial relationships**. Review the information for all persons whom you did not exclude in Step 1 and determine whether each person’s financial relationships with ineligible companies are relevant to the content of the education you are planning. Financial relationships are relevant if the following three conditions are met for the prospective person who will control content of the education:

* A financial relationship, in **any amount**, exists between the person in control of content and an ineligible company.
* The financial relationship existed during the past **24 months.**
* The content of the education is related to the products of an ineligible company with whom the person has a financial relationship.

**STEP 3:** Choose a **mitigation strategy** for each person who has a relevant financial relationship and implement that strategy before the person assumes their role. Using the lists below, identify which mitigation strategy(ies) will be used for all persons with relevant financial relationships who control the educational content of the educational activity. You may select multiple strategies but be sure to use strategies **appropriate to the role(s)** that each person has. You can also identify your own strategies for mitigation.

**Mitigation steps for FACULTY and OTHER PARTICIPANTS**

(Choose at least one)

* **DIVEST** the financial relationship
* **PEER REVIEW** of content by persons without relevant financial relationships.
* **ATTEST** that clinical recommendations are evidence-based and free of commercial bias (e.g., peer reviewed literature, adhering to evidence-based practice guidelines).
* **OTHER** methods (please describe below):

**Mitigation steps for ACTIVITY DIRECTORS and PLANNERS**

(Choose at least one)

* **DIVEST** the financial relationship
* **RECUSAL** from controlling aspects of planning and content without relevant financial with which there is a financial relationship.
* **PEER REVIEW** of planning decisions by persons without relevant financial relationships
* **OTHER** methods (please describe below):

Other Methods:

Other Methods:

|  |  |  |  |
| --- | --- | --- | --- |
| **Step 4: Document the mitigation strategy(ies) you used for the person (spouse or partner) with a relevant financial relationship.** | | | |
| **A** | **B** | **C** | **D** |
| **NAME OF PERSON** | **ROLE(S) IN ACTIVITY** | **STEP(S) TAKEN TO MITIGATE RELEVANT FINANCIAL RELATIONSHIP** | **DATE IMPLEMENTED** |
| *Example: Dr. Joe Jones* | *Planner* | *Recusal from topic/faculty selection (chosen from bullet list above)* | *10/28/2021* |
|  |  |  |  |
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**For Activity Directors:** I ***attest*** that after review of this form, the participant completing it did NOT respond with a financial relationship in the past 24 months, therefore there is no conflicts ***OR*** I ***attest*** that I completed section 4 to the best of my ability that after review of this form, I have identified and will implement the steps to take to mitigate relevant financial relationships.

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| --- | --- |
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| **Activity Director’s Signature:** | **Date:** |

*\* Typed signatures will not be accepted, please use hand signature or another form of formal electronic signatures (such as PDF certified signatures).*