Transition Coordinators (TCs) and Specialized Care Managers (SCMs) complete a standardized checklist of potential challenges to transition for each consumer. Challenges are recorded up until the consumer transitions, or if not transitioning, until the consumer’s case is closed. This report includes transition challenge data through December 31, 2018, obtained from Connecticut’s My Community Choices MFP Website. The data reported here are cumulative and reflect a combination of demonstration and non-demonstration consumers. Only referrals with signed informed consents are used in the data. Overall transition challenge data and comparisons of type of challenge by transition status and disability type are examined.

As of December 31, 2018, the CT MFP program had made 15,667 referrals to SCM Supervisors. Of these, 10,972 had signed an informed consent form. SCMs or TCs had completed challenges checklists for 10,532 (96%) referrals with signed informed consents. Of those referrals with a completed checklist, 417 referrals (4%) had “no challenges” to transition marked.

Note: Percentages may not sum to exactly 100% due to rounding
The checklist for potential transition challenges comprises 12 challenge categories, each with four to seven subcategories (not including the category "Other"). Excluding the referrals where the SCM or TC indicated “no challenges,” the 10,532 referrals with completed challenges checklists generated 66,655 separate challenges. Of these, the most frequently reported challenge was physical health (16.8%) followed by challenges related to services and supports (16.2%), housing (15.2%), mental health (13.0%), consumer engagement (10.4%), financial issues (7.7%), and waiver/home and community-based services (HCBS) program (5.3%).

**Transition Challenge Categories**

- Physical health, 16.8%
- Services and supports, 16.2%
- Mental health, 13.0%
- Housing, 15.2%
- Consumer engagement, 10.4%
- Financial issues, 7.7%
- Waiver/HCBS, 5.3%
- Legal issues, 4.7%
- MFP office / TC, 3.7%
- Other involved individuals, 3.0%
- Facility related, 3.0%
- Other challenges, 1.0%

Note: Percentages may not sum to exactly 100% due to rounding.
Types of Challenges

**Physical health**
- Current, new or undisclosed physical health problem
- Inability to manage physical disability or physical illness in community
- Medical testing issues or delays
- Missing or waiting for physical health documents
- Other physical health issues

**Mental health**
- Current or history of substance/alcohol abuse w/ risk of relapse
- Current, new, or undisclosed mental health problem
- Dementia or cognitive issues
- Inability to manage mental health in community
- Other mental health issues

**Housing**
- Delays related to housing authority, agency or housing coordinator
- Delays related to lease, landlord, apartment manager, etc.
- Needs housing modifications before transition
- Ineligible or waiting for approval from RAP or other housing programs
- Lacks affordable, accessible community housing
- Housing related legal, criminal or credit issues, including evictions or unpaid rent
- Other housing related issues

**Consumer engagement**
- Disengagement or lack/loss of motivation
- Lack of awareness or unrealistic expectations
- Lack of independent living skills
- Language or communication skills
- Other consumer related issues

**Services and supports**
- Lack of alcohol, substance abuse, or addiction services
- Lack of AT or DME
- Lack of mental health services or supports
- Lack of PCA, home health, or other paid support staff
- Lack of transportation
- Lack of any other services or supports
- Lack of unpaid caregiver to provide care/informal support
- Other issues related to services or supports

**Financial**
- Consumer credit or unpaid bills
- Lack of or insufficient financial resources
- SSDI, SSI, SAGA, SSA, VA or other cash benefits
- Medicaid eligibility or insurance issues
- Other financial benefits or issues
- Other financial challenge
Data through 12/31/2018

**Waiver / HCBS**
- Current waivers or HCBS programs do not meet consumer needs: 47%
- Ineligible for or denial of HCBS program or waiver services: 11%
- Targeted waiver full: 15%
- Waiting for evaluation, application review from waiver or HCBS agency/contact: 17%
- Other HCBS or waiver program issues: 14%

**Other involved individual**
- Issues with spouse/partner, family or friends: 78%
- Physical health provider/doctor opposed, unsupportive, or unresponsive: 5%
- Mental health provider/doctor, unsupportive, or unresponsive: 5%
- Other provider or state agency opposed, unsupportive, or unresponsive: 6%
- Other issues related to involved individuals: 7%

**MFP Office or transition coordinator**
- Lack of time for TC to follow up: 56%
- Transition plan not approved: 15%
- Waiting for response/approval from MFP Office: 24%
- Other TC/MFP Office issues: 11%

**Facility**
- Facility staff or administration issues: 29%
- Waiting for, loss of or absence of discharge planning: 15%
- Evaluation of consumer by facility: 14%
- Nursing home or facility closure: 15%
- Level of care (ASCEND) issues: 23%
- Other facility related issues: 3%

**Legal**
- Consumer criminal history: 43%
- Probate court issues: 8%
- Legal representative issues: 25%
- Missing or waiting for identity, birth certificates, etc.: 5%
- Other court or legal issues: 19%
Type of Challenge by Transition Status

This analysis examines two groups of referrals with completed challenge checklists: those who transitioned and those who closed without transitioning (including recommend closure status), as of December 31, 2018. Of the 10,532 referrals with a completed transition challenges checklist, 9,578 (91%) had either transitioned or closed without transitioning. Of these, 5,385 (56%) had transitioned, while the remaining 4,193 (44%) had closed without transitioning.

Transitioned vs. Closed Prior to Transition with Challenge Checklist Completed

10,532 Referrals with challenge checklist completed, in any status (9,627 consumers; 905 with multiple referrals)

Status Transitioned or Closed without transitioning
9,578 (91%)
(8,801 consumers; 777 with multiple referrals)

Still in transition process
954 (9%)

Transceeded
5,385 (56%)

Closed without transitioning
4,193 (44%)

Note: Percentages may not sum to exactly 100% due to rounding
The transitioned versus closed before transitioning groups showed significant differences in eleven of the twelve challenge categories. A significantly greater percentage of those referrals that closed before transitioning had potential transition challenges related to physical health, housing, mental health, consumer engagement and skills, waiver/HCBS program, legal concerns, other involved individuals, and other challenges. On the other hand, consumers who transitioned faced significantly greater financial, MFP office/TC, and facility related challenges, although these did not prevent the consumer from transitioning. Services and supports challenges had only one percent separating the two groups of referrals and was not significant.

**Challenges by Transition Status**

* indicates significance of p<0.05 using chi square tests
**Type of Challenge by Disability Type**

In this analysis, potential transition challenges faced by consumers were analyzed by the type of disability listed for each referral: physical health (n=8,361), mental health (n=3,839), cognitive (n=2,992), or sensory (n=931, includes visual or hearing). The following table shows the percent of each disability type that had that particular challenge. For example, physical health was a challenge to transition for 74 percent of referrals with a physical disability, 72 percent with a mental health disability, 71 percent with a cognitive disability, and 76 percent with a sensory disability. Each referral can have more than one disability type.

Seven challenge categories, as well as those with no challenges, were a statistically significant problem for referrals in all of the four disability types: physical health (range 71-76%), mental health (52-78%), services and supports (53-55%), consumer engagement (43-53%), financial (27-36%), MFP office/TC (20-26%), other involved individuals (19-22%), and no challenges (1-2%). Other challenges posed a significant problem for one or more disability types, but not for all four.

Housing was a significant challenge for more than two thirds of those with mental health or physical health disabilities. Housing was also a challenge for 64 percent of people with either cognitive or sensory disabilities, although not statistically significant. Other statistically significant challenges faced by consumers with physical or mental health disabilities comprised legal (25%, 30%, respectively), MFP office/TC (20%, 22% respectively), and other involved individuals (19%, 21% respectively).

Those with sensory disabilities faced additional significant challenges with respect to waiver/HCBS program (33%), MFP office/TC (26%), legal (20%), and other areas (7%). Six percent of consumers with cognitive disabilities also faced significant challenges in other areas.
## Challenges by Disability Type

<table>
<thead>
<tr>
<th>Challenge</th>
<th>Physical (%)</th>
<th>Mental (%)</th>
<th>Cognitive (%)</th>
<th>Sensory (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Physical health</td>
<td>74.4*</td>
<td>71.7*</td>
<td>70.6*</td>
<td>75.5*</td>
</tr>
<tr>
<td>Consumer engagement, awareness, skills</td>
<td>42.8*</td>
<td>51.1*</td>
<td>53.1*</td>
<td>51.2*</td>
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<tr>
<td>Mental health</td>
<td>51.6*</td>
<td>77.9*</td>
<td>68.0*</td>
<td>56.5*</td>
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<tr>
<td>Waiver program</td>
<td>27.7</td>
<td>27.6</td>
<td>29.2</td>
<td>32.7*</td>
</tr>
<tr>
<td>Housing</td>
<td>67.6*</td>
<td>70.1*</td>
<td>64.2</td>
<td>63.7</td>
</tr>
<tr>
<td>Services and supports</td>
<td>52.9*</td>
<td>53.4*</td>
<td>52.9*</td>
<td>55.2*</td>
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<tr>
<td>Financial issues or insurance benefits</td>
<td>36.0*</td>
<td>35.5*</td>
<td>27.2*</td>
<td>30.1*</td>
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<tr>
<td>Other involved individuals</td>
<td>18.7*</td>
<td>20.6*</td>
<td>21.9*</td>
<td>22.3*</td>
</tr>
<tr>
<td>Legal or criminal</td>
<td>25.1*</td>
<td>29.5*</td>
<td>23.0</td>
<td>20.4*</td>
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<td>MFP Office or transition coordinator</td>
<td>20.4*</td>
<td>21.5*</td>
<td>20.7*</td>
<td>26.1*</td>
</tr>
<tr>
<td>Facility related</td>
<td>17.3*</td>
<td>20.2*</td>
<td>16.4</td>
<td>15.5</td>
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<tr>
<td>Other area</td>
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<td>4.9</td>
<td>5.5*</td>
<td>6.6*</td>
</tr>
<tr>
<td>No challenges</td>
<td>2.4*</td>
<td>1.1*</td>
<td>2.2*</td>
<td>1.4*</td>
</tr>
</tbody>
</table>

* indicates significance of p<0.05 using chi square tests.